KBA University

Web Seminar

Reg GG: Banks & Internet Gambling

On-Demand now

Description

The law and regulations require every bank to develop policies reasonably designed to identify and block or otherwise prevent restricted transactions. Compliance will be reviewed in on-site examinations by federal functional regulatory agencies. The compliance mechanisms are to focus on deposit activity in connection with commercial accounts. All banks that use the ACH, card, check collection and wire transfer systems are affected and each must develop relevant policies and procedures.

Features

Time is running out…current law requires your bank must develop and implement a Regulation GG compliance program

Regulation GG and a parallel set of regulations from the Department of Treasury implement and interpret the Unlawful Internet Gambling Enforcement Act of 2006 (UIGEA). The regulations took effect on January 19, 2009 and compliance is *mandatory June 2010*.

> The presentation focuses on:

- Commercial accounts and unlawful Internet gambling
- Developing required policies related to unlawful Internet gambling
- Customers that present a minimal risk of engaging in an Internet gambling business
- Due diligence for new commercial customers
- Mailing notices to existing commercial customers
- Documenting legal Internet gambling
- Developing procedures for dealing with illegal Internet gambling

Sample Policies and Procedures: Program materials include a number of sample policies and procedures. The samples are not "one size fits all" examples that every financial institution can adopt as its own. They are teaching tools that are intended to illustrate that the bank must take number of elements into consideration in the design of its internal documents. (Attendees at Ken's webinars are always very complimentary of the sample documents and language he includes in his materials.)

Workshop Resources

This presentation includes audio, video and a power point slide show. A detailed manual is available for download. It is suggested a copy be made for each person participating in the program. For questions after the program a question and answer forum is provided as a part of the course. All questions are answered by the speaker and available to anyone purchasing the program. Running Time: **1 hour 39 minutes**

Expected Audience

Expected attendees are officers and auditors employed by banks, thrifts and credit unions who are responsible for building or evaluating a Regulation GG compliance structure. Depending on where these compliance responsibilities rest in your institution, those who should attend might be the compliance officer, security officer, Bank Secrecy Act Officer, or auditor.

Speaker

Ken Golliher is a principal with Pegasus Educational Services, LLC. He is an experienced banker with a unique ability to reduce complex legal concepts to plain English. He has explained the "why" and "how" of regulations to thousands of financial institution personnel and examiners. Ken's banking career began in 1972 and includes serving as a teller, commercial operations manager and as trust department legal counsel in a state and a national bank. For ten years he headed the education division of a regional consulting firm for financial institutions. He has served on the faculty of the LSU Graduate School of Banking, the OTS' Level I Compliance School and the FDIC's Advanced Consumer Protection School for examiners. He has presented seminars in more than 25 states and has served as an instructor at compliance schools sponsored by the Illinois, Indiana, Iowa, Georgia, Kansas, Kentucky, Nebraska, Oklahoma, Pennsylvania and Texas bankers associations. He is also member of the Society for Applied Learning Technology.

What is an On-Demand Course?

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The process is simple. Enroll in a course, pay online with a credit card, and receive an e-mail immediately with your link to your course. The same login information and link is used to access all courses you purchase using your account. Your computer will need speakers to receive the audio presentation. There are no special hardware or software requirements to view the program. Presentations lasting in excess of one hour are broken down into 30 minute segments to make it easier to manage class participation time.

Special note to Trainers: This system gives you the ability to develop your online training library. Using one link, user name and password anyone in your organization is able to access the program for six months. The manual and other supporting materials are available to anyone with the login information. You forward the login information, assign the courses to watch and let the learning begin.

Registration

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Fill out this form and Fax it to: 888.420.4806
Mail check payable to: Speakersmic.com LLC, with form to:
974 Breckenridge Ln., #253 Louisville, KY 40207
[_] Reg GG-Banks and Internet Gambling $249
Running Time: 1 Hour39 Minutes
This course includes a detailed manual and video presentation

Full Name | Title

Bank

Street Address

City | State | Zip

Phone

E-mail ***required for registration and confirmation**

Payment Method: [_] Visa [_] Mastercard [_] AMEX [_] Check

Card Number Expiration / / Security Code

A 3 or 4 digit code on the back for VISA /MC front for AMEX

For Questions or to register by phone: call Speakersmic @ 888.325.0974